JC03 Rec'd RCT/PTO 09 JUN 2009

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES **DESIGNATED/ELECTED OFFICE (DO/EO/US)** 

ATTORNEY'S DOCKET NUMBER NL021364

U.S. APPLICA FIGH NO. (kin/wa) see 37 CF (1.5)

CONCERNING A SUBMISSIO	N UNDER 35 U.S.C. 3/1	10/9/0211
INTERNATIONAL APPLICATION NO. PCT/IB2003/005758	INTERNATIONAL FILING DATE DECEMBER 8, 2003	PRIORITY DATE CLAIMED DECEMBER 11, 2002
TITLE OF INVENTION		
METHODS AND APPARATUS FOR IMP APPLICANT(S) FOR DO/EO/US	PROVING THE BREATHING OF DI	SK SCHEDULING ALGORITHMS
DECLAN P. KELLY, JOZEF P. VAN GAS	SSEL	
Applicant herewith submits to the United Sta	ites Designated/Elected Office (DO/EC	D/US) the following items and other information:
1. This is a FIRST submission of items cor	ncerning a submission under 35 U.S.C. 371	ı <b>.</b>
	ubmission of items concerning a submission	<i>:</i>
(5), (6), (9) and (21) indicated below.	onal examination procedures (35 U.S.C. 37	1(f)). The submission must include items
4. The US has been elected (Article 31).		
5. A copy of the International Application		
a. Li is attached hereto (required	only if not communicated by the Internation	ıal Bureau).
b. has been communicated by		
	cation was filed in the United States Receiving	·
	e International Application as filed (35 U.S.C	;. 371(c)(2)).
a. is attached hereto.		
	ted under 35 U.S.C. 154(d)(4).	
	rnational Application under PCT Article 19 (3	
	ed only if not communicated by the Internati	ional Bureau).
	by the International Bureau.	
[2]	ever, the time limit for making such amendm	ents has NOT expired.
d. have not been made and w		
	e amendments to the claims under PCT Arti	icle 19 (35 U.S.C. 371(c)(3)).
9. An oath or declaration of the inventor(s	s) (35 U.S.C. 371(c)(4)).	
10. An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).	annexes of the International Preliminary Ex	xamination Report under PCT
Items 11 to 20 below concern document(s)	or information included:	
11. An Information Disclosure Statement u	under 37 CFR 1.97 and 1.98.	
	g. A separate cover sheet in compliance wit	th 37 CFR 3.28 and 3.31 is included.
13. A preliminary amendment.		
14. An Application Data Sheet under 37 Cl	FR 1.76.	
15. A substitute specification.		
16. A power of attorney and/or change of a	address letter.	
	ence listing in accordance with PCT Rule 13	3ter.2 and 37 CFR 1.821- 1.825.
18. A second copy of the published Interna	ational Application under 35 U.S.C. 154(d)(4	4).
	e translation of the international application	
20. Other items or information: Receipt Co.	ail Certificate; PTO/SB/80; PTO/SB/96; PT	TO/SB/08A; PTO/SB/08B; Charge Authorization;

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Page 1 of 2

JC17 Rec'd PCT/PTO 0.9 JUN
PTO-1390 (Rev. 02-2005)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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U.S. APPLICATION NO. (if known, see 37 CFR 1.5)			ATTORNEY'S DOCKET NUMBER			
10/53821 PCT/IB2003/005758			NL021364			
i _	owing fees have been submitted			\$ 300.00	PTO USE ONLY	
21. 🔟 Bas	21. A Basic national fee\$300					
22. Examination fee  If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)					\$ 200.00	
23. Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority					\$ 400.00	
TOTAL OF 21, 22 and 23 =			\$ 900.00			
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.						
Total Sheets	Extra Sheets		ch additional 50 or fraction I up to a whole number)	RATE		
- 100 =	/50 =			x \$250	\$	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).					\$	
CLAIMS	NUME	ER FILED	NUMBER EXTRA	RATE	\$	
Total claims	20	- 20 =		x \$50	\$ 0.00	
Independent clai	ms 4	- 3 =	1	x \$200	\$ 200.00	
MULTIPLE DEPI	ENDENT CLAIM(S	6) (if applicable)		+ \$360	\$	
TOTAL OF ABOVE CALCULATIONS =				\$ 200.00		
Applicant cla	ims small entity s	tatus. See 37 CF	R 1.27. Fees above are reduc	ed by 1/2.		
SUBTOTAL =					\$ 1,100.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).					\$	
TOTAL NATIONAL FEE =				\$ 1,100.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property					\$ 40.00	
TOTAL FEES ENCLOSED = \$ 1,140.00						
			Amount to be refunded:	\$		
					Amount to be charged:	\$ 1,140.00
a. A check in the amount of \$ to cover the above fees is enclosed.						
b. Please charge my Deposit Account No. 14-1270 in the amount of \$ 1,140.00 to cover the above fees.  A duplicate copy of this sheet is enclosed.						
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1270 A duplicate copy of this sheet is enclosed.						
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.						
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.						
SEND ALL CORRESPONDENCE TO:						
Co	Corporate Patent Counsel					
Philips Flectronics North America Corporation  GREGORY L. THORNE						
P.O. Box 3001						
	Briarcliff Manor, NY 10510 39,398  REGISTRATION NUMBER					
REGISTRATI			NO MODEK	-		

**10/5**38211 JC17 Rec'd PCT/PTO 09 JUN 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Atty. Doc

JOHANNES H.M. KORST ET AL

NL021364

Serial No.

Filed: CONCURRENTLY

Title: METHODS AND APPARATUS FOR IMPROVING THE BREATHING OF DISK

SCHEDULING ALGORITHMS

Commissioner for Patents Alexandria, VA 22313-1450

<u>LETTER</u>

Sir:

Applicant(s) calls to the attention of the Patent and Trademark Office a Search Report issued abroad in reference to a corresponding foreign application. A copy of the Search Report dated April 18, 2005 is attached.

An Information Disclosure Statement under 37 CFR 1.56 is not being filed for these "A" references. The Search Report states they are "documents defining the general state of the art which is not considered of particular relevance". The enclosed Search Report is not intended to be construed as an admission by the Applicant(s) that any of the references cited therein is material.

Respectfully submitted,

Gregory L. Thorne, Reg. No.39, 398 Senior Corporate Patent Counsel

(914) 333-9665

Encl. Search Report

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Atty. Docket

JOHANNES H.M. KORST ET AL

NL021364

Serial No.

Filed: CONCURRENTLY

Title: METHODS AND APPARATUS FOR IMPROVING THE BREATHING OF DISK

SCHEDULING ALGORITHMS

	CERTIFICATE OF EXPRESS MAILING
[X] Express Mail Mailing	Label No. EU 664 852 475
Date of Deposit:	Tune 9, 2005
"Express Mail Post Office	paper and/or fee is being deposited with the United States Postal Service to Addressee" service under 37 C.F.R. 1.10 on the date indicated the Commissioner for Patents, PO Box, 1450, Alexandria, VA 22313
Noemi Chapa Typed Name	Noemi Chape Signature